

## THE UNIT FOUNDATION (TUF)

The origin of The Unit Foundation (TUF) is a simple story. Early in Rob Lively's tenure as the Unit Command Sergeant Major (CSM), the Unit suffered a combat casualty. Immediately CSM Lively identified two priorities: support the family of the fallen and manage external exposure of the Unit. As knowledge of the Unit's loss became public, The Unit was blessed by tremendous philanthropic outreach from an impressive array of genuinely Great Americans. CSM Lively having neither been trained or educated in the field of philanthropic giving felt unprepared to manage and direct such giving. Subsequently, he recognized a need for a means to respond to philanthropic giving through an ethically managed process to assist future Unit leaders. From this need The Unit Foundation was born. Rob and his wife Kathy made the decision to establish this foundation to bridge the gap between the Unit and philanthropic giving. They undertook this endeavor when Rob's CSM duties were relinquished. The Lively's feel blessed to have found this opportunity to continue to serve the Unit in a meaningful way and will continue to evolve The Unit Foundation to serve into the future.

### MISSION STATEMENT

The Unit Foundation will raise funds and align priorities and resources to complement Unit priorities and requirements not satisfied by governmental means or other philanthropic efforts. TUF will connect donors with recipients in need of assistance. Support the Unit in a legal, moral, and ethical manner.

### CULTURE STATEMENT

Passionately serve with humility and compassion. Objectively and responsibly manage the gifting of others with transparency.

### CORE VALUES

- Earn **TRUST** every day in all that we do.
- Remain **ADAPTABLE** so that TUF can meet the needs that others do not.
- Have **COMPASSION** with **OBJECTIVITY** so that TUF can support where it is needed yet responsibly manage the generous gifting of our donors.

### PRIORITIES

- **No duplicative efforts**-work in the spaces where others are not.
- **People**-Sustainable High Performance support, Professional Development, Transition Support, Veteran Unit Member Support and Gold Star Support.
- **Relationships**-Relationship building events not covered by DOD funding strategies.
- **Operational Readiness**-Individual Soldier crisis response.

The Unit Foundation

PO BOX 1281, Vass, NC 28394

Kathy Lively-Executive Director (910)724-6923 [klively@theunitfoundation.com](mailto:klively@theunitfoundation.com)

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 21 2018

THE UNIT FOUNDATION  
PO BOX 1281  
VASS, NC 28394

Employer Identification Number:  
82-1954019  
DLN:  
17053192304007  
Contact Person:  
RICHARD K DOLFI ID# 31363  
Contact Telephone Number:  
(877) 829-5500  
Accounting Period Ending:  
December 31  
Public Charity Status:  
170(b)(1)(A)(vi)  
Form 990/990-EZ/990-N Required:  
Yes  
Effective Date of Exemption:  
May 26, 2017  
Contribution Deductibility:  
Yes  
Addendum Applies:  
No

Dear Applicant:

We're pleased to tell you we determined you're exempt from federal income tax under Internal Revenue Code (IRC) Section 501(c)(3). Donors can deduct contributions they make to you under IRC Section 170. You're also qualified to receive tax deductible bequests, devises, transfers or gifts under Section 2055, 2106, or 2522. This letter could help resolve questions on your exempt status. Please keep it for your records.

Organizations exempt under IRC Section 501(c)(3) are further classified as either public charities or private foundations. We determined you're a public charity under the IRC Section listed at the top of this letter.

This supersedes our letter dated October 10, 2017, which we issued with an incorrect address. We updated our records to show your correct address as listed at the top of this letter.

If we indicated at the top of this letter that you're required to file Form 990/990-EZ/990-N, our records show you're required to file an annual information return (Form 990 or Form 990-EZ) or electronic notice (Form 990-N, the e-Postcard). If you don't file a required return or notice for three consecutive years, your exempt status will be automatically revoked.

If we indicated at the top of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

For important information about your responsibilities as a tax-exempt organization, go to [www.irs.gov/charities](http://www.irs.gov/charities). Enter "4221-PC" in the search bar to view Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities,

Letter 947

THE UNIT FOUNDATION

which describes your recordkeeping, reporting, and disclosure requirements.

Sincerely,

*Stephen a. martin*

Director, Exempt Organizations  
Rulings and Agreements